## Homework 3-5

## Menu

(1) $\mathrm{HW} \# 3$

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
(2) $\mathrm{HW} \# 4$
- 1
- 2
- 3
- 4
- 5
- 6
(3) $\mathrm{HW} \# 5$
- 1
- 2
- 3
- 4
- 5
- 6
- 7


## HW\#3 Problem 1

(Exercise 1.7 adapted; 10 points) For each of the following languages, give the state diagram of an NFA, with as few states as possible, that recognizes the language. In all parts, the alphabet is $\{0,1\}$.
(a) The language $\{w \mid w$ contains 011 or 0101 as a substring, i.e., $w=x(011 \mid 0101) y$ for some $x$ and $y\}$
(b) The language $1^{*} 0^{*} 1^{+}$(Note: $1^{+}$is a shorthand for $11^{*}$.)

## HW\#3 Problem 1

(a) The language $\{\omega \mid \omega$ contains 101 or 1101 as a substring, i.e., $\omega=x(101 \mid 1101) y$ for some $x$ and $y\}$ with five states.


## HW\#3 Problem 1

(b) The language $1^{*} 0^{*} 1^{+}$with three states.


## HW\#3 Poblem 2

(Exercise 1.14; 10 points) Show by giving an example that, if $M$ is an NFA that recognizes language $C$, swapping the accept and nonaccept states in $M$ doesn't necessarily yield a new NFA that recognizes the complement of $C$. Is the class of languages recognized by NFAs closed under complement? Explain your answer.

## HW\#3 Problem 2

Give a example that swapping the accept and nonaccept states in an NFA does not necessarily yield a new NFA that recognizes the complement of the original language:


The above NFA recognizes the string $0^{*}$.

## HW\#3 Problem 2

Give a example that swapping the accept and nonaccept states in an NFA does not necessarily yield a new NFA that recognizes the complement of the original language:


The above NFA still recognizes the string $0^{*}$.

## HW\#3 Problem 2

Show that the class of languages recognized by NFAs is closed under complement:

Let the language $L$ be the language recognized by an NFA $M$. According to Theorem 1.39 on the slides, every NFA has an equivalent DFA. Let $N$ be the equivalent DFA of $M$, the complement of $N($ written $\bar{N})$ recognizes the complement of $L$. Similarly, every DFA has an equivalent NFA, so $\bar{N}$ must have an equivalent NFA, called $D$. In conclusion, the complement of $L$ is still recognized by an nfA $D$, so the class of languages recognized by nfas is closed under complement.

## HW\#3 Poblem 3

(Exercise 1.16 adapted; 20 points) Use the construction given in Theorem 1.39 (every NFA has an equivalent DFA) to convert the following NFA into an equivalent DFA.


## HW\#3 Problem 3

## Use Th 1.39 (subset construction) to construct equivalent DFA.

## HW\#3 Problem 3

List all states


## HW\#3 Problem 3

List all states


$$
q_{0}^{\prime}=E(\{1\})=\{1,2\}
$$

## HW\#3 Problem 3

List all states


$$
F^{\prime}=\{\{2\},\{1,2\},\{2,3\},\{1,2,3\}\}
$$

## HW\#3 Problem 3

List all states


$$
\begin{aligned}
& \delta^{\prime}(\{ \}, a)=\{ \} \\
& \delta^{\prime}(\{ \}, b)=\{ \}
\end{aligned}
$$

## HW\#3 Problem 3

## List all states


$\delta^{\prime}(\{1\}, a)=\{3\}$
$\delta^{\prime}(\{1\}, b)=\{ \}$

## HW\#3 Problem 3

## List all states



## HW\#3 Problem 3

## List all states



## HW\#3 Problem 3

List all states


$$
\begin{aligned}
& \delta^{\prime}(\{1,2\}, a)=\{3\} \\
& \delta^{\prime}(\{1,2\}, b)=\{1,2\}
\end{aligned}
$$

## HW\#3 Problem 3

List all states


$$
\begin{aligned}
& \delta^{\prime}(\{1,3\}, a)=\{2,3\} \\
& \delta^{\prime}(\{1,3\}, b)=\{2\}
\end{aligned}
$$

## HW\#3 Problem 3

List all states

$\delta^{\prime}(\{2,3\}, a)=\{2,3\}$
$\delta^{\prime}(\{2,3\}, b)=\{1,2\}$

## HW\#3 Problem 3

List all states

$\delta^{\prime}(\{1,2,3\}, a)=\{2,3\}$
$\delta^{\prime}(\{1,2,3\}, b)=\{1,2\}$

## HW\#3 Problem 3

List all states

delete unreachable states $\{1\},\{1,3\}$ and $\{1,2,3\}$.

## HW\#3 Problem 4

(Exercise 1.18 adapted; 10 points) Use the procedure described in Lemma 1.55 to convert the regular expression $(0 \cup 1)^{+} 011(0 \cup 1)^{*}$ into an NFA. Be sure to show the intermediate automata.

## HW\#3 Problem 4

## $0 \quad 1$




## HW\#3 Problem 4

$0 \cup 1$


## HW\#3 Problem 4

$(0 \cup 1)^{+}$


## HW\#3 Problem 4

## $(0 \cup 1)^{+} 011$



## HW\#3 Problem 4

```
\[
(0 \cup 1)^{+} 011(0 \cup 1)^{*}
\]
```



## HW\#3 Poblem 5

(Exercise 1.20; 10 points) Give regular expressions generating the following languages, where the alphabet is $\{0,1\}$ :
(a) $\{w \mid$ every odd position of $w$ is a 1$\}$ (Note: see $w$ as $w_{1} w_{2} \cdots w_{n}$, where $w_{i} \in\{0,1\}$ )
(b) $\{w \mid w$ doesn't contain the substring 011 $\}$

## HW\#3 Problem 5

(a) odd position is 1 $(1(0 \cup 1))^{*}(1 \cup \epsilon)$

## HW\#3 Problem 5

(b) doesn't contain the substring 011 $1^{*}(0 \cup 01)^{*}$

## HW\#3 Poblem 6

(Exercise 1.21 adapted; 20 points) Use the procedure described in Lemma 1.60 to convert the following finite automaton into a regular expression.


## HW\#3 Problem 6



## HW\#3 Problem 6



## HW\#3 Problem 6



## HW\#3 Problem 6



## HW\#3 Poblem 7

(Exercise 1.24 adapted; 10 points) A finite-state transducer (FST) is a type of deterministic finite automaton whose output is a string rather than accept or reject. The following are state diagrams of finite state transducers $T_{1}$ and $T_{2}$.

$T_{1}$

$T_{2}$

## HW\#3 Poblem 7

Each transition of an FST is labeled with two symbols, one designating the input symbol for that transition and the other designating the output symbol. The two symbols are written with a slash, /, separating them. In $T_{1}$, the transition from $q_{1}$ to $q_{2}$ has input symbol 2 and output symbol 1. Some conditions may have multiple input-output pairs, such as the transition in $T_{1}$ from $q_{1}$ to itself. When an FST computes on an input string $w$, it takes the input symbols $w_{1} \cdots w_{n}$ one by one and, starting from the start state, follows the transitions by matching the input labels with the sequence of symbols $w_{1} \cdots w_{n}=w$. Every time it goes along a transition, it outputs the corresponding output symbol. For example, on input 2212011, machine $T_{1}$ enters the sequence of states $q_{1}, q_{2}, q_{2}, q_{2}, q_{2}, q_{1}, q_{1}, q_{1}$ and produces output 1111000. On input abbb, $T_{2}$ outputs 1011. Give the sequence of states entered and the output produced in each of the following parts.
(a) $T_{1}$ on input 120221
(b) $T_{2}$ on input abaabb

## HW\#3 Problem 7

(a) $q_{1}$
$q_{1}$ : input 1 , output 0 , transfer to $q_{1}$
$q_{1}$ : input 2, output 1, transfer to $q_{2}$ $q_{2}$ : input 0 , output 0 , transfer to $q_{1}$ $q_{1}$ : input 2 , output 1 , transfer to $q_{2}$ $q_{1}$ : input 2 , output 1 , transfer to $q_{2}$ $q_{2}$ : input 1 , output 1 , transfer to $q_{2}$ Output: 010111

## HW\#3 Problem 7

(b) abaabb $q_{1}$
$q_{1}$ : input a, output 1, transfer to $q_{2}$
$q_{2}$ : input b, output 0 , transfer to $q_{1}$
$q_{1}$ : input a, output 1 , transfer to $q_{2}$
$q_{2}$ : input a, output 1 , transfer to $q_{3}$ $q_{3}$ : input b, output 1 , transfer to $q_{2}$ $q_{2}$ : input b, output 0 , transfer to $q_{1}$ Output: 101110

## HW\#3 Poblem 8

(Exercise 1.25 adapted; 10 points) Read the informal definition of the finite state transducer given in Exercise 1.24. Give a formal definition of this model, following the patterns in Definition 1.5 (Page 35 in Sipser's book or Page 7 of the slides). Assume that an FST has an input alphabet $\Sigma$ and an output alphabet $\Gamma$ but not a set of accept states. Include a formal definition of the computation of an FST. (Hint: an FST is a 5 -tuple. Its transition function is of the form $\delta: Q \times \Sigma \longrightarrow Q \times \Gamma$.)

## HW\#3 Problem 8

An FST $T$ is a 5 -tuple $\left(Q, \Sigma, \Gamma, \delta, q_{0}\right)$
$Q$ is a finite set of states
$\Sigma$ is a finite set of input symbols
$\Gamma$ is a finite set of output symbols
$\delta: Q \times \Sigma \rightarrow Q \times \Gamma$ is the transition function
$q_{0} \in Q$ is the start state

Let $w=w_{1} w_{2} \ldots w_{n}$ be a string over $\sum$ and $x=x_{1} x_{2} \ldots x_{n}$ a string over「
We say $T$ produces output $x$ on input $w$ with the sequence of states $r_{0}, r_{1}, \ldots, r_{n}$ when

- $r_{0}=q_{0}$
- $\delta\left(r_{i}, w_{i+1}\right)=\left(r_{i+1}, x_{i+1}\right)$ for $i=0,1, \ldots, i-1$


## HW\#4 Problem 1

(Problem 1.43; 10 points) An all-NFA $M$ is a 5 -tuple ( $Q, \Sigma, \delta, q, F)$ that accepts $x \in \Sigma^{*}$ if every possible state that $M$ could be after reading input $x$ is a state from $F$. Note, in contrast, that an ordinary NFA accepts a string if some state among these possible states is an accept state. Prove that all-NFAs recognize the class of regular languages.

## HW\#4 Problem 1

We need to prove the following two claims:

- All regular languages can be recognized by an all-NFA.
- All languages all-NFAs recognize are regular.

Claim: All regular languages can be recognized by an all-NFA.
Proof: All regular languages are recognized by a DFA, and DFA is also an all-nFA because DFA has only one run for each input string, namely, all the accepting runs (only one) terminate at the accepting states.

## HW\#4 Problem 1

Claim: All languages all-NFAs recognize are regular.
Proof: Suppose that $A$ is the language that an all-NFA $N=(Q, \Sigma, \delta, q, F)$ recognizes. Now we can construct a DFA $M=\left(Q^{\prime}, \Sigma, \delta^{\prime}, q^{\prime}, F^{\prime}\right)$ that recognizes $A$ as follows:

- $Q^{\prime}=P(Q)$ (the power set of $Q$ ).
- $\delta^{\prime}$ is the $\epsilon$-closure of transitions from the elements of the state-set.
- $q^{\prime}=\{q\}$.
- $F^{\prime}=P(F)-\{\{ \}\}$.


## HW\#4 Problem 1

For example: all-NfA $N$ :


## HW\#4 Problem 1

For example: DFA $M$ :


## HW\#4 Problem 1

Simplify M:


## HW\#4 Problem 2

(Problem 1.66; 20 points) Let $M=\left(Q, \Sigma, \delta, q_{0}, F\right)$ be a DFA and let $h$ be a state of $M$ called its "home". A synchronizing sequence for $M$ and $h$ is a string $s \in \Sigma^{*}$ where $\delta(q, s)=h$ for every $q \in Q$. Say that $M$ is synchronizable if it has a synchronizing sequence for some state $h$. Prove that, if $M$ is a $k$-state synchronizable DFA, then it has a synchronizing sequence of length at most $k^{3}$. (Note: $\delta(q, s)$ equals the state where $M$ ends up, when $M$ starts from state $q$ and reads input $s$.)

## HW\#4 Problem 2

We first start from two states $q_{A}$ and $q_{B}$ of $Q$.
$q_{A}$ and $q_{B}$ can reach the same state since $M$ is synchronizable. Let $s_{A B}$ be a string with the minimum length that leads $q_{A}$ and $q_{B}$ into the same state $g$.

The length of $s_{A B}$ is at most $k *(k-1)$. Because the pairs of different two states in $Q$ are at most $k *(k-1)$, if the length of $s_{A B}$ is $k *(k-1)+1$, there must be two repeated pairs, which means that the substring between them could be removed.

For example: if $s_{A B}$ can be divided as $s_{1} s_{2} s_{3}$ such that

$$
\left(q_{A}, q_{B}\right) \xrightarrow{s_{1}}\left(q_{A}^{\prime}, q_{B}^{\prime}\right) \xrightarrow{s_{2}}\left(q_{A}^{\prime}, q_{B}^{\prime}\right) \xrightarrow{s_{3}}(g, g)
$$

Then $s_{2}$ can be removed.

## HW\#4 Problem 2

Now we have $k$ states in $Q$. We can first run $s_{A B}$ with the length at most $k *(k-1)$ so that $q_{A}$ and $q_{B}$ will transfer to the same state. Then, we can similarly run $s_{B C}$ to make $q_{B}$ and $q_{C}$ transfer to the same state, which means that $q_{A}, q_{B}$ and $q_{C}$ are in the same state.

By repeating the steps above $k-1$ times, all $k$ states will be transferred to the same state, which is $h$. And we can obtain our synchronizing sequence $s$ with the length at most $k *(k-1)^{2} \leq k^{3}$.

## HW\#4 Problem 3

(Problem 1.61; 20 points) Let the rotational closure of language $A$ be $R C(A)=\{y x \mid x y \in A\}$.
(a) Show that, for any language $A$, we have $R C(A)=R C(R C(A))$ (i.e., rotational closure, as an operation/function, is idempotent).
(b) Show that the class of regular languages is closed under rotational closure.

## HW\#4 Problem 3 (a)

First of all, it is obvious that, for any language $A$, we have $A \subseteq R C(A)$ (by taking $x$ or $y$ in the definition of $R C$ to be the empty string). Therefore, for any language $A$, we have $R C(A) \subseteq R C(R C(A))$ readily.
It remains to be proven that $R C(R C(A)) \subseteq R C(A)$.
For this, we let $\Sigma$ be the alphabet and show that, for every $w \in \Sigma^{*}$, if $w \in R C(R C(A))$, then $w \in R C(A)$.

## HW\#4 Problem 3 (a)

Suppose $w \in R C(R C(A))$. Let $w=y x$ for some $x, y \in \Sigma^{*}$ such that $x y \in R C(A)$. For $x y \in R C(A)$ to hold, either $x y=x_{1} x_{2} y$ and $x_{2} y x_{1} \in A$ for some $x_{1}, x_{2} \in \Sigma^{*}$ or $x y=x y_{1} y_{2}$ and $y_{2} x y_{1} \in A$ for some $y_{1}, y_{2} \in \Sigma^{*}$. In the first case where $x_{2} y x_{1} \in A$, we have $y x_{1} x_{2} \in R C(A)$ and hence $w=y x=y x_{1} x_{2} \in R C(A)$; analogously, for the second case.

## HW\#4 Problem 3 (b)

Let $A$ be an arbitrary regular language and $M_{A}=\left(Q_{A}, \Sigma, \delta_{A}, q_{A}, F_{A}\right)$ be a DFA that recognizes $A$. To prove that $R C(A)$ is also regular, we construct from $M_{A}$ (as a building block) an NFA $N$ that recognizes $R C(A)$. We first elaborate on the basic ideas and then give a formal definition for $N$.

## HW\#4 Problem 3 (b)

Suppose $N$ is given an input $w=y x$ for some $x, y \in \Sigma^{*}$ such that $x y \in A$. Let $q_{x}$ be the state in which $M_{A}$ ends up after reading $x$. Starting from $q_{x}, M_{A}$ should end at some final state after reading $y$. For $N$ to accept $w$, we let $N$ simulate $M_{A}$ from $q_{x}$ and, after reading y and reaching a final state, make an epsilon transition (which needs to be added to $M_{A}$ ) to the initial state $q_{x}$ of $M_{A}$ and continue simulating $M_{A}$ with the rest of the input.
If $N$ eventually ends up at $q_{x}$, then the input $w$ is of the correct form of $y x$ such that $x y \in A$. Any state of $M_{A}$ may act as $q_{x}$.

## HW\#4 Problem 3 (b)

For $N$ to start and finish the simulation at the same state, we need $\left|Q_{A}\right|$ copies of $M_{A}$, one for each state in $Q_{A}$, with an epsilon transition added from every final state to the initial state. To start the simulation of $M_{A}$ from any state, $N$ has an epsilon transition from its initial state to every state of $M_{A}$. So, $N=\left(Q_{A} \times Q_{A} \cup\left\{q_{0}\right\}, \Sigma_{\varepsilon}, \delta, q_{0}, \bigcup_{q \in Q_{A}}\{(q, q)\}\right)$, where

$$
\begin{cases}\delta\left(q_{0}, \varepsilon\right)=\bigcup_{q \in Q_{A}}\{(q, q)\} & \\ \delta\left(\left(q_{1}, q_{2}\right), a\right)=\left\{\left(q, q_{2}\right) \mid \delta_{A}\left(q_{1}, a\right)=q\right\} & q_{1}, q_{2} \in Q_{A} \text { and } a \in \Sigma \\ \delta\left(\left(q_{1}, q_{2}\right), \varepsilon\right)=\left\{\left(q_{A}, q_{2}\right)\right\} & q_{1} \in F_{A} \text { and } q_{2} \in Q_{A} \\ \delta(q, a)=\emptyset & \text { otherwise }\end{cases}
$$

## HW\#4 Problem 4

(Problem 1.64; 20 points) If $A$ is any language, let $A_{\frac{1}{2}-}$ be the set of all first halves of strings in $A$ so that

$$
A_{\frac{1}{2}-}=\{x \mid \text { for some } y,|x|=|y| \text { and } x y \in A\}
$$

Show that if $A$ is regular, then so is $A_{\frac{1}{2}-}$.

## HW\#4 Problem 4

The idea is that two DFA works simultaneously, one starts from the start state $q$ and recognizes $A$, and the other starts from one of the accepting states $r \in F$ and recognizes $A^{R}$. Whenever the former DFA reads in an input $a$, we feed a letter $c$ to the latter DFA to let both DFAs move forward for one step.
So, if both DFAs stop at the same state, we know that the two strings are of same length and the concatenation of them are in $A$.

## HW\#4 Problem 4

Suppose that $A$ is the language that an DFA $D=(Q, \Sigma, \delta, q, F)$ recognizes. Now we can construct a NFA $N=\left(Q^{\prime}, \Sigma, \delta^{\prime}, q^{\prime}, F^{\prime}\right)$ that recognizes $A_{\frac{1}{2}-}$ as follows:

- $Q^{\prime}=\{Q \times Q\} \cup\left\{q_{0}\right\}$.
- $\delta^{\prime}\left(q_{0}, \epsilon\right)=(q, r)$ for all $r \in F$ $\delta^{\prime}\left(\left(r_{1}, r_{2}\right), a\right)=\left(\delta\left(r_{1}, a\right), z\right)$ for any $z$ such that there exists some $c \in \Sigma$ with $\delta(z, c)=r_{2}$.
- $q^{\prime}=q_{0}$.
- $F^{\prime}=\{(r, r) \mid r \in Q\}$.


## HW\#4 Problem 5

(Problem 1.40; 10 points) Let

$$
\Sigma_{2}=\left\{\left[\begin{array}{l}
0 \\
0
\end{array}\right],\left[\begin{array}{l}
0 \\
1
\end{array}\right],\left[\begin{array}{l}
1 \\
0
\end{array}\right],\left[\begin{array}{l}
1 \\
1
\end{array}\right]\right\} .
$$

Here, $\Sigma_{2}$ contains all columns of 0 s and 1 s of length two. A string of symbols in $\Sigma_{2}$ gives two rows of 0 s and 1 s .
Consider the top and bottom rows to be strings of 0 s and 1 s and let

$$
E=\left\{w \in \Sigma_{2}^{*} \mid \text { the bottom row of } w \text { is the reverse of the top row of } w\right\}
$$

Show that $E$ is not regular.

## HW\#4 Problem 5

Use the pumping lemma: Let $s$ be $\left[\begin{array}{l}0 \\ 1\end{array}\right]^{p}\left[\begin{array}{l}1 \\ 0\end{array}\right]^{p}$, where $p$ is the pumping length for $E$.
When dividing $s$ as $x y z$, because $|x y| \leq p, y$ must consist of $\left[\begin{array}{l}0 \\ 1\end{array}\right] s$. And obviously, $x y^{2} z \notin E$ (the number of 0 is different between the top and the bottom rows).

## HW\#4 Problem 6

(Problem 1.71; 20 points) Let $\Sigma=\{0,1\}$.
(a) Let $A=\left\{1^{k} x \mid x \in \Sigma^{*}\right.$ and $x$ contains at least $k 1 \mathrm{~s}$, for $\left.k \geq 1\right\}$. Show that $A$ is regular.
(b) Let $B=\left\{1^{k} x \mid x \in \Sigma^{*}\right.$ and $x$ contains at most $k 1 \mathrm{~s}$, for $\left.k \geq 1\right\}$. Show that $B$ is not regular.

## HW\#4 Problem 6

(a) Regular expression: $10^{*} 1(0 \cup 1)^{*}$
(b) Use the pumping lemma:

Let $s$ be $1^{p} 0^{p} 1^{p}$, where $p$ be the pumping length given by the pumping lemma.
When dividing $s$ as $x y z$, because $|x y| \leq p, y=1^{i}$ for some $i \geq 1$. $x y^{0} z=1^{p-i} 0^{p} 1^{p} \notin B(p-i<p$ so $x$ contains more than $k 1 \mathrm{~s})$.

## HW\#5 Problem 1

(Exercise 2.1; 10 points) Consider the following CFG discussed in class, where for convenience the variables have been renamed with single letters.

$$
\begin{aligned}
& E \rightarrow E+T \mid T \\
& T \rightarrow T \times F \mid F \\
& F \rightarrow(E) \mid a
\end{aligned}
$$

Give (leftmost) derivations and the corresponding parse trees for the following strings.
(a) $a+(a \times a)$
(b) $((a) \times a)$

HW\#5 Problem 1 (a)
$a+(a \times a)$


HW\#5 Problem 1 (b)
$((a) \times a)$


## HW\#5 Problem 2

(Exercise 2.4; 10 points) Give CFGs that generate the following languages. In all parts the alphabet $\Sigma$ is $\{0,1\}$.
(a) $\{w \mid$ the length of $w$ is a multiple of 3$\}$
(b) $\left\{w \mid w=w^{R}\right.$, that is, $w$ is a palindrome $\}$

## HW\#5 Problem 2 (a)

$\{\omega \mid$ the length of $\omega$ is multiple of 3$\}$
$S \rightarrow A A A S \mid \epsilon$
$A \rightarrow 0 \mid 1$

## HW\#5 Problem 2 (b)

$\left\{\omega \mid \omega=\omega^{R}\right.$, that is, $\omega$ is palindrome $\}$
$S \rightarrow 0 S 0|1 S 1| C \mid \epsilon$
$C \rightarrow 0 \mid 1$

## HW\#5 Problem 3

(Exercise 2.6d; 10 points) Give a CFG that generates the language $\left\{x_{1} \# x_{2} \# \cdots \# x_{k} \mid k \geq 1\right.$, each $x_{i} \in\{a, b\}^{*}$, and for some $i$ and $\left.j, x_{i}=x_{j}^{R}\right\}$.

## HW\#5 Problem 3

The pattern of generated string can be considered as the following: $L x_{i} M x_{j} R$, where $x_{i}=x_{j}^{R}$.
Let $X=\{a, b\}^{*}$.
$L$ can generate:

1. $\epsilon$
2. .. $X \# X \# X \#$
$R$ can generate:
3. $\epsilon$
4. $\# X \# X \# X \ldots$

## HW\#5 Problem 3

$L x_{i} M x_{j} R$
$M$ can generate:

1. \#
2. $\# X \# X \# X \# \ldots \# X \# X \# X \#$
3. $\epsilon, a, b$ (when $i=j$, namely $x_{i}=x_{j}$ is a palindrome)

## HW\#5 Problem 3

$$
\begin{aligned}
& S \rightarrow L M^{\prime} R \\
& M^{\prime} \rightarrow a M^{\prime} a\left|b M^{\prime} b\right| M \\
& M \rightarrow \# X M X \#|\#| a|b| \epsilon \\
& L \rightarrow X \# L \mid \epsilon \\
& R \rightarrow R \# X \mid \epsilon \\
& X \rightarrow X a|X b| \epsilon
\end{aligned}
$$

## HW\#5 Problem 4

(Problem 2.33; 20 points) Let $\Sigma=\{a, b\}$. Give a CFG generating the language of strings with twice as many $a$ 's as $b$ 's (no restriction is imposed on the order in which the input symbols may appear). Prove that the CFG is correct.

## HW\#5 Problem 4

The CFG $G$ generates the language $C=\{w \mid w$ contains twice as many a's as b's\}:
$S \rightarrow a a S b|a S b S a| b S a a|S S| \epsilon$
Let the string $s \in C$ is of length $k$, we can prove that $G$ generates $s$ by strong induction on $k$ :

Base case $(k=0): s=\epsilon \in L(G)$.
Inductive step: Let $s=s_{1} \cdots s_{k}$ and $c_{i}=$ the number of a's minus twice the number of $b$ 's in $s_{1} \cdots s_{i}$, consider two cases:
(1) There exists $c_{i}=0$ for some $0<i<k$, then we can let $s=p q$ where $p$ is the first $i$ letters of $s$, by induction hypothesis we know both $p$ and $q \in L(G)$. Therefore the rule $S \rightarrow S S$ generates $s$.

## HW\#5 Problem 4

(2) $c_{i} \neq 0$ for all $0<i<k$, then there are three subcases:
(i) $s$ starts with $b$, then $c_{i}<0$ for all $0<i<k$, and $s$ must end with aa. Therefore $s=$ bpaa where $p \in L(G)$, and the rule $S \rightarrow b S a a$ generates $s$.
(ii) $s$ starts with $a$ and $c_{i}>=0$ for all $0<i<k$, then $s=a a p b$ where $p \in L(G)$, and the rule $S \rightarrow$ aaSb generates $s$.
(iii) $s$ starts with $a$ and $c_{i}<0$ for some $0<i<k$, then $s=a p b q a$ where $p, q \in L(G)$, and the rule $S \rightarrow a S b S a$ generates $s$.

## HW\＃5 Problem 5

（Exercise 2.8 adapted； 10 points）Show that the string＂the boy sees a girl with a telescope＂has two different leftmost derivations in the following CFG．

```
    \(\langle\) SENTENCE \(\rangle \rightarrow\langle\) NOUN-PHRASE \(\rangle\) (VERB-PHRASE \(\rangle\)
\(\langle\) NOUN-PHRASE \(\rangle \rightarrow\langle\) CMPLX-NOUN \(\rangle \mid\)
    〈CMPLX-NOUN〉〈PREP-PHRASE〉
\(\langle\) VERB-PHRASE \(\rangle \rightarrow\) 〈CMPLX-VERB〉 \(\mid\)
        〈CMPLX-VERB〉〈PREP-PHRASE〉
\(\langle\) PREP-PHRASE \(\rangle \rightarrow\langle\) PREP \(\rangle\langle\) CMPLX-NOUN \(\rangle\)
\(\langle\) CMPLX-NOUN \(\rangle \rightarrow\langle\) ARTICLE \(\rangle\langle\) NOUN \(\rangle\)
\(\langle\mathrm{CMPLX}-\mathrm{VERB}\rangle \rightarrow\langle\mathrm{VERB}\rangle \mid\langle\mathrm{VERB}\rangle\langle\) NOUN-PHRASE \(\rangle\)
    \(\langle\) ARTICLE \(\rangle \rightarrow \mathrm{a} \mid\) the
    〈NOUN〉 \(\rightarrow\) boy | girl|flower | telescope
    〈VERB〉 \(\rightarrow\) touches | likes | sees
    \(\langle\) PREP \(\rangle \rightarrow\) with
```


## HW\#5 Problem 5

$\mathrm{S} \Rightarrow \mathrm{NPVP} \Rightarrow \mathrm{CNVP} \Rightarrow \mathrm{ANVP} \Rightarrow$ the $N V P \Rightarrow$ the boy $V P \Rightarrow$ the boy $\mathrm{CV} \Rightarrow$ the boy $\mathrm{VNP} \Rightarrow$ the boy sees NP $\Rightarrow$ the boy sees $\mathrm{CN} P \mathrm{PP} \Rightarrow$ the boy sees $\mathrm{ANPP} \Rightarrow$ the boy sees the NPP $\Rightarrow$ the boy sees the girl PP $\Rightarrow$ the boy sees the girl $\mathrm{PCN} \Rightarrow$ the boy sees the girl with $\mathrm{CN} \Rightarrow$ the boy sees the girl with $\mathrm{AN} \Rightarrow$ the boy sees the girl with a $\mathrm{N} \Rightarrow$ the boy sees the girl with a telescope

## HW\#5 Problem 5

$\mathrm{S} \Rightarrow \mathrm{NPVP} \Rightarrow \mathrm{CNVP} \Rightarrow \mathrm{ANVP} \Rightarrow$ the $N V P \Rightarrow$ the boy $V P \Rightarrow$ the boy CVPP $\Rightarrow$ the boy VNPPP $\Rightarrow$ the boy sees NP PP $\Rightarrow$ the boy sees $C N P P \Rightarrow$ the boy sees AN PP $\Rightarrow$ the boy sees the NPP $\Rightarrow$ the boy sees the girl PP $\Rightarrow$ the boy sees the girl PCN $\Rightarrow$ the boy sees the girl with $\mathrm{CN} \Rightarrow$ the boy sees the girl with $\mathrm{A} N \Rightarrow$ the boy sees the girl with a $\mathrm{N} \Rightarrow$ the boy sees the girl with a telescope

## HW\#5 Problem 6

(Exercise 2.9; 20 points) Give a CFG that generates the language

$$
A=\left\{a^{i} b^{j} c^{k} \mid i=j \text { or } j=k \text { where } i, j, k \geq 0\right\} .
$$

Is your grammar ambiguous? Why or why not?

## HW\#5 Problem 6

To design a CFG to that generates $a^{i} b^{j} c^{k}$ where $i=j \vee j=k$ We can consider two paths: $i=j$ or $j=k$ If we choose $i=j$, then the left part should have equal $a$ and $b$. If we choose $j=k$, then the right part should have equal $b$ and $c$.

## HW\#5 Problem 6

$$
\begin{aligned}
& S \rightarrow U C \mid A V \\
& U \rightarrow a U b \mid \epsilon \\
& V \rightarrow b V c \mid \epsilon \\
& A \rightarrow a A \mid \epsilon \\
& C \rightarrow c C \mid \epsilon
\end{aligned}
$$

Is the CFG ambiguous?
Consider the $s=a b c$, there are two ways to generate $s$
$S \Rightarrow U C \Rightarrow a U b C \Rightarrow a b C \Rightarrow a b c C \Rightarrow a b c$
$S \Rightarrow A V \Rightarrow a A V \Rightarrow a V \Rightarrow a b V c \Rightarrow a b c$

## HW\#5 Problem 7

(Exercise 2.14; 20 points) Convert the following CFG (where $A$ is the start variable) into an equivalent CFG in Chomsky normal form, using the procedure given in Theorem 2.9.

$$
\begin{aligned}
& A \rightarrow B A B|B| \varepsilon \\
& B \rightarrow 0 B 1 \mid \varepsilon
\end{aligned}
$$

## HW\#5 Problem 7

$$
\begin{aligned}
& A \rightarrow B A B|B| \epsilon \\
& B \rightarrow 0 B 1 \mid \epsilon
\end{aligned}
$$

## HW\#5 Problem 7

1. Add a new start symbol

Add $S_{0} \rightarrow A$
$S_{0} \rightarrow A$
$A \rightarrow B A B|B| \epsilon$
$B \rightarrow 0 B 1 \mid \epsilon$

## HW\#5 Problem 7

2. Remove $\epsilon$ rules

Remove $B \rightarrow \epsilon$
$S_{0} \rightarrow A$
$A \rightarrow B A B|B| \epsilon|B A| A B \mid A$
$B \rightarrow 0 B 1$

## HW\#5 Problem 7

2. Remove $\epsilon$ rules

Remove $A \rightarrow \epsilon$
$S_{0} \rightarrow A \mid \epsilon$
$A \rightarrow B A B|B| B A|A B| A \mid B B$
$B \rightarrow 0 B 1$

## HW\#5 Problem 7

3. Remove unit rules

Remove $A \rightarrow A$
$S_{0} \rightarrow A \mid \epsilon$
$A \rightarrow B A B|B| B A|A B| B B$
$B \rightarrow 0 B 1$

## HW\#5 Problem 7

3. Remove unit rules

Remove $A \rightarrow B$
$S_{0} \rightarrow A \mid \epsilon$
$A \rightarrow B A B|B A| A B|B B| 0 B 1$
$B \rightarrow 0 B 1$

## HW\#5 Problem 7

3. Remove unit rules

Remove $S \rightarrow A$
$S_{0} \rightarrow B A B|B A| A B|B B| 0 B 1 \mid \epsilon$
$A \rightarrow B A B|B A| A B|B B| 0 B 1$
$B \rightarrow 0 B 1$

## HW\#5 Problem 7

4. Split other rules

Remove $S_{0} \rightarrow B A B A \rightarrow B A B$
$S_{0} \rightarrow B C_{1}|B A| A B|B B| 0 B 1 \mid \epsilon$
$A \rightarrow B C_{2}|B A| A B|B B| 0 B 1$
$B \rightarrow 0 B 1 \quad C_{1} \rightarrow A B$
$C_{2} \rightarrow A B$

## HW\#5 Problem 7

4. Split other rules

Remove $S \rightarrow 0 B 1 A \rightarrow 0 B 1 B \rightarrow 0 B 1$
$S_{0} \rightarrow B C_{1}|B A| A B|B B| C_{3} 1 \mid \epsilon$
$A \rightarrow B C_{2}|B A| A B|B B| C_{4} 1$
$B \rightarrow C_{5} 1 \quad C_{1} \rightarrow A B$
$C_{2} \rightarrow A B \quad C_{3} \rightarrow 0 B$
$C_{4} \rightarrow 0 B$
$C_{5} \rightarrow 0 B$

## HW\#5 Problem 7

$$
\begin{aligned}
& S_{0} \rightarrow B C_{1}|B A| A B|B B| C_{3} I_{1} \mid \epsilon \\
& A \rightarrow B C_{2}|B A| A B|B B| C_{4} I_{2} \\
& B \rightarrow C_{5} I_{3} C_{1} \rightarrow A B \\
& C_{2} \rightarrow A B \quad C_{3} \rightarrow O_{1} B \\
& C_{4} \rightarrow O_{2} B \\
& C_{5} \rightarrow O_{3} B \\
& I_{1} \rightarrow 1 \\
& I_{2} \rightarrow 1 \\
& I_{3} \rightarrow 1 \\
& O_{1} \rightarrow 0 \\
& O_{2} \rightarrow 0 \\
& O_{3} \rightarrow 0
\end{aligned}
$$

